

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Robert S. Kieval et al

Serial No.: 09/963,777

Filing Date: September 26, 2001

For: ELECTRODE DESIGNS AND METHODS OF USE FOR  
CARDIOVASCULAR REFLEX CONTROL DEVICES

Docket No.: 1151.1106101

Assistant Commissioner for Patents  
Washington, D.C. 20231

Examiner: Unknown

Group Art Unit: 3762



#5

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**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 17 day of April, 2002.

By

R. E. Atkinson  
Robert E. Atkinson

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

ROBERT S. KIEVAL ET AL.

By their attorney,

Dated: 4/17/02

R. E. Atkinson

Robert E. Atkinson, Reg. No. 36,433  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Bruce J. Perrson et al.

Serial No.: 09/963,777

Examiner: Unknown

Filing Date: September 26, 2001

Group Art Unit: 3762

For: ELECTRODE DESIGNS AND METHODS OF USE FOR  
CARDIOVASCULAR REFLEX CONTROL DEVICES

Docket No.: 1151.1106101

**TRANSMITTAL SHEET**The Assistant Commissioner for Patents  
Washington, D.C. 20231

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By

Robert E. Atkinson

Sir:

We are transmitting herewith the attached:

☐ Amendment☐ No additional fee required☐ The fee has been calculated as shown:


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CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X42=	\$	X84=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+140=	\$	+280=	\$
TOTAL				\$		\$	

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- ☒ Other: Information Disclosure Statement, Form PTO-1449, and cited references
- 
- ☐ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
Robert E. Atkinson, Reg. No. 36,433

Robert E. Atkinson  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South  
Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349